



Request for permission to submit a PhD thesis as a series of publications

Important note to supervisors and students – submission of a thesis as a series of publications is different from a normal chapter-by-chapter thesis **only in format**. Examiners are given the same set of instructions for all PhD thesis examinations. The fact that a number of papers have been published/will be published is not sufficient to justify submission in this format, and may disadvantage a candidate's examination if examiners cannot see evidence of the student's depth and breadth of study, knowledge of the field, ability to critically analyse data and results and make conclusions.

Name: _____
Student ID: _____
School: _____
Supervisor: _____

- 1. Have you completed six full-time semesters (or part-time equivalent)?**
 Yes (go to next question)
 No – you cannot apply (yet) to the HDC to submit your thesis in this format
- 2. Did you discuss submitting your thesis as a set of publications at your last Annual Progress Review?**
 Yes Date of Review _____
 No – please reapply to the HDC after your next review when the appropriateness of this format has been discussed with the review panel

Candidates should note that in a thesis as a series of publications, the majority of papers need to have been published/in press at the time of submission. For example, the inclusion of 3 papers published/in press and 3 or more submitted will not be permitted

- 3. Do you have at least three publications, in which you are the first author AND in which you were responsible for at least 50% of the work?**
 Yes
 No – you cannot submit your PhD thesis as a series of publications
- 4. Is the thesis to be presented as a themed, coherent document with a logical order to the included chapters?**
 Yes
List the publications you intend to include in your thesis and your justification for their inclusion. (You must indicate if the publications are *submitted, in press or published*).
 No – you cannot submit your PhD thesis as a series of publications

5. **I have read and understood the following:**

- Papers that are submitted but not yet in press may be included in the thesis, provided that
 - a) the number of such papers represent a minority of the publications; and
 - b) are accompanied by a letter from the supervisor attesting to the 'publishable' quality of the manuscript(s).
- Material that is not intended for submission before end of candidature will not be included.
- If required, supplementary files will need to be provided (as an appendix) on detailed methodology, troubleshooting techniques, additional Figures etc, directly related to the publications.
- The bibliography may be presented at the end of each Chapter.
- The supervisor will provide a signed statement (presented at the beginning of the thesis) that all co-authors have agreed to the submission of the nominated papers as part of the Doctoral Thesis.
- The Declarations page must be signed.

Name: _____
Date: _____

Signature: _____

Office use only

HDC meeting date:

Approved:

Approval Conditions

(which papers yet to be published etc)

Date for follow up by Graduate Research School:

Confirmed approved conditions met:

Not approved *(reason)*:

PhD by Publication: Minimum requirements for number of papers published or 'in press'

The following table outlines the Faculty of Medicine minimum requirements for papers published or in press when the Higher Degree Committee considers a request for permission to submit a PhD thesis by publication.

Total Number of Papers Included in Thesis	Minimum Number Published or 'in Press' Papers	Maximum Number of Submitted or 'Submission Ready' Papers
3	3	0
4	3	1
5	3	2
6	4	2
7	4	3
8	5	3
9	5	4

Current as at March, 2011.